ARCI-006-010 General Provisions

A. Racing Officials

Officials at a race meeting include the following:

- (1) stewards;
- (2) racing secretary;
- (3) horsemen's bookkeeper;
- (4) paddock judge;
- (5) horse identifier;
- (6) clerk of scales;
- (7) jockey room custodian;
- (8) starter;
- (9) timer/clocker;
- (10) patrol judge, absent video replay equipment;
- (11) placing judge, if duty not performed by stewards;
- (12) official veterinarian;
- (13) racing veterinarian;
- (14) association-employed veterinarian
- (15) horseshoe inspector
- (14)(16) any other person designated by the Commission.

B. Eligibility

To qualify as a racing official, the appointee shall be:

- (1) of good character and reputation;
- (2) experienced in flat racing;
- (3) familiar with the duties of the position and with the Commission's rules of flat racing;
- (4) mentally and physically able to perform the duties of the job; and
- (5) in good standing and not under suspension or ineligible in any racing jurisdiction.

C. Approval and Licensing

The Commission, in its sole discretion, may determine the eligibility of a racing official and, in its sole discretion, may approve or disapprove any such official for licensing.

D. Prohibited Practices

While serving in an official capacity, racing officials and their assistants shall not:

- (1) participate in the sale or purchase, or ownership of any horse racing at the meeting;
- (2) sell or solicit horse insurance on any horse racing at the meeting;
- (3) be licensed in any other capacity without permission of the Commission, or in case of an emergency, the permission of the stewards;
- (4) wager on the outcome of any race under the jurisdiction of the Commission; or

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(5) consume or be under the influence of alcohol or any prohibited substances while performing official duties.

E. Report of Violations

Racing officials and their assistants shall report immediately to the stewards every observed violation of these rules and of the laws of this state governing racing.

F. Complaints Against Officials

- (1) Complaints against any steward shall be made in writing to the Commission and signed by the complainant.
- (2) Any complaint against a racing official other than a steward shall be made to the stewards in writing and signed by the complainant. All such complaints shall be reported to the Commission by the stewards, together with a report of the action taken or the recommendation of the stewards.
- (3) A racing official may be held responsible by the stewards or the Commission for the actions of their assistants.

G. Appointment

- (1) A person shall not be appointed to more than one racing official position at a meeting unless specifically approved by the Commission.
- (2) The Commission shall appoint or approve the stewards at each race meeting.

H. Approval and Licensing

The Commission, in its sole discretion, may determine the eligibility of a racing official and, in its sole discretion, may approve or disapprove any such official for licensing.

Appointment of Substitute Officials

Where an emergency vacancy exists among racing officials, the stewards or the association, with the stewards' approval, shall fill the vacancy immediately. Such appointment shall be reported to the Commission and shall be effective until the vacancy is filled in accordance with these rules.

J. Appointment of Substitute Steward

Should any steward be absent at race time, and no approved alternate steward be available, the remaining stewards shall appoint a substitute for the absent steward. If a substitute steward is appointed, the Commission and the association shall be notified by the stewards.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02 Version 3.1 to 3.2 ARCI 12/9/04 NAPRA 12/9/04: Deleted and modified rule language