#### ARCI-010-025 Workouts

### A. Requirements

A horse shall not start unless it has participated in an official race or has an approved timed workout satisfactory to the stewards. The workout must have occurred at a parimutuel or Commission recognized facility within the previous 30 days. A horse which has not started for a period of 60 days or more shall be ineligible to race until it has completed a timed workout approved by the stewards prior to the day of the race in which the horse is entered. The association may impose more stringent workout requirements.

### B. Identification

- (1) Unless otherwise prescribed by the stewards or the Commission, the official lip tattoo must have been affixed to a horse's upper lip; or a unique, microchip (ISO 11784) implanting or freeze brand applied; or other identification method approved by the appropriate breed registry and the Commission applied prior to its participation in workouts from the gate, schooling races or workouts required for removal from the Stewards' List, the Starter's List, the Veterinarian's List or the Bleeder List.
- (2) The trainer or exercise rider shall bring each horse scheduled for an official workout to be identified by the clocker or clocker's assistant immediately prior to the workout.
- (3) A horse shall be properly identified by its lip tattoo, unique microchip (ISO 11784), freeze brand or other identification method approved by the appropriate breed registry and the Commission immediately prior to participating in an official timed workout.
- (4) The trainer or trainer's designee shall be required to identify the distance the horse is to be worked and the point on the track where the workout will start.

### C. Information Dissemination

Information regarding a horse's approved timed workout(s) shall be furnished to the public prior to the start of the race for which the horse has been entered.

#### D. Restrictions

A horse shall not be taken onto the track for training or a workout except during hours designated by the association.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02 Version 4.4 to 4.5 Amended language added micro chip and freeze brand

# ARCI-010-030 Horses Ineligible

A horse is ineligible to start in a race when:

(1) it is not stabled on the grounds of the association or present by the time established by the Commission;

- (2) its breed registration certificate is not on file with the racing secretary or horse identifier; unless the racing secretary has submitted the certificate to the appropriate breed registry for correction; the stewards may waive this requirement if the information contained on the registration certificate is otherwise available and the horse is otherwise correctly identified to the stewards' satisfaction;
- (3) it is not fully identified and is tattooed on the inside of the upper lip, is microchipped with a unique microchip (ISO 11784), freeze brand or identified by any other method approved by the appropriate breed registry and the Commission;
- (4) it has been fraudulently entered or raced in any jurisdiction under a different name, with an altered registration certificate or altered lip tattoo, microchip ISO 11784, freeze brand or other identification method approved by the appropriate breed registry and the Commission;
- (5) it is wholly or partially owned by a <u>disqualified person or a horse or</u> is under the direct or indirect training or management of a <u>disqualified person who for any reason is ineligible to be licensed to participate in this jurisdiction;</u>
- (6) it is wholly or partially owned by the spouse of a disqualified person or a horse is under the direct or indirect management of the spouse of a disqualified person who for any reason is ineligible to be licensed or participate in this jurisdiction; in such cases, it being presumed that the disqualified person who for any reason is ineligible to be licensed or participate in this jurisdiction and spouse constitute a single financial entity with respect to the horse, which presumption may be rebutted;
- (7) the stakes or entrance money for the horse has not been paid, in accordance with the conditions of the race;
- (8) the losing jockey mount fee is not on deposit with the horsemen's bookkeeper;
- (9) its name appears on the Starter's List, Stewards' List or Veterinarian's List;
- (10) it is a first time starter and has not been approved to start by the starter;
- (11) it is owned in whole or in part by an undisclosed person or interest;
- (12) it lacks sufficient official published workouts or race past performance(s);
- (13) it has been entered in a stakes race and has subsequently been transferred with its engagements, unless the racing secretary has been notified of such prior to the start;
- (14) it is subject to a lien which has not been approved by the stewards and filed with the horsemen's bookkeeper;
- (15) it is subject to a lease not filed with the stewards;
- (16) it is not in sound racing condition;
- (17) it has had a surgical neurectomy performed on a heel nerve, which has not been approved by the official veterinarian;
- (18) it has been trachea tubed to artificially assist breathing;

- (19) it has been blocked with alcohol or otherwise drugged or surgically denerved to desensitize the nerves above the ankle;
- (20) it has impaired eyesight in both eyes;
- (21) it is barred or suspended in any recognized jurisdiction;
- (22) it does not meet the eligibility conditions of the race;
- (23) its owner or lessor is in arrears for any stakes fees, except with approval of the racing secretary;
- (24) its owner(s), lessor(s) and/or trainer have not completed the licensing procedures required by the Commission;
- (25) it is by an unknown sire or out of an unknown mare; or
- (26) there is no current negative test certificate for Equine Infectious Anemia attached to its breed registration certificate or proof of a negative test certificate is not otherwise available if the stewards have waived the requirement of a registration certificate, as required by statute.
- (27) If a thoroughbred, it has shoes (racing plates) which have toe grabs with a height greater than two millimeters (0.07874 inches), bends, jars, caulks, stickers or any other traction device on the front hooves while racing or training on all racing surface.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

Version 4.0 to 4.1 ARCI 4/26/07: Added new rule language

Version 4.2 to 4.3 ARCI Board 8/2/08: Revised Toe Grab language

Version 4.4 to 4.5 ARCI 4/23/09 Amended language added microchip and freeze brand language and paper exception Version 5.6 to 5.7 ARCI Board 4/9/2014 Amended ARCI-010-030 (5) and (6) to delete language pertaining to "disqualified person"

# ARCI-010-035 Running of the Race

### A. Equipment

- (1) All riding crops are subject to inspection and approval by the stewards and the clerk of scales.
  - (a) Riding crops shall have a shaft and a flap and will be allowed in flat racing including training, only as follows.
    - (A) Maximum weight of eight ounces;
    - (B) Maximum length, including flap of 30 inches
    - (C) Minimum diameter of the shaft of three-eighths inch; and
    - (D) Shaft contact area must be smooth, with no protrusions or raised surface, and covered by shock absorbing material that gives a compression factor of at least one-millimeter throughout its circumference.
  - (b) The flap is the only allowable attachment to the shaft and must meet these specifications:

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Approved by ARCI Board of Directors December 9 April 9, 20132014