ARCI-008-030 Jockeys

A. Eligibility

- (1) No person under 18 years of age shall be licensed by the Commission as a jockey, except persons who have been licensed by this Commission prior to the date of adoption of this rule.
- (2) A jockey shall pass a physical examination given within the previous twelve months by a licensed physician affirming fitness to participate as a jockey. The stewards may require that any jockey be reexamined and may refuse to allow any jockey to ride pending completion of such examination.
- (3) An applicant shall show competence by prior licensing and the demonstration of riding ability, which may include participation in up to five races with the prior approval of the stewards with the consideration of the recommendations from the starter, the head outrider, and the designated representatives of the jockeys and the horsemen at the track. The demonstration of riding ability is defined at a minimum of:
 - (a) Breaking with a horse in company from the starting gate;
 - (b) Working a horse in company around the turn and down the stretch;
 - (c) Switching the riding crop from one hand to the other while maintaining control of the horse in a stretch drive;
 - (d) Causing a horse to switch leads coming out of the turn.
- (4) A jockey shall not be an owner or trainer of any horse competing at the race meeting where the jockey is riding.
- (5) A person whose weight exceeds 130 pounds at the time of application shall not be licensed as a jockey.

B. Apprentice Jockeys

- (1) An applicant may be prohibited from riding until the stewards or the Commission has sufficient opportunity (not to exceed 14 days) to verify the applicant's previous riding experience.
- (2) The conditions of an apprentice jockey license do not apply to quarter horse racing. A jockey's performances in quarter horse racing do not apply to the conditions of an apprentice jockey license.
- (3) An applicant with an approved apprentice certificate may be licensed as an apprentice jockey.
- (4) An apprentice certificate may be obtained from the stewards on a form provided by the Commission. A person shall not receive more than one apprentice certificate.

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- In case of emergencies, a copy of the original may be obtained from the Commission where it was issued.
- An apprentice jockey may ride with a five pound weight allowance beginning with his/her first mount and for one full year from the date of his/her fifth winning mount. If after riding one year from the date of his/her fifth winning mount, the apprentice jockey has failed to ride a total of forty winners from the date of his/her first winning mount, he/she may continue to ride with a five pound weight allowance for one more year from the date of his/her fifth winning mount or until he/she has ridden forty winners, whichever comes first. Apprentice allowance may be waived with the stewards' permission at the time of entry by the trainer or the trainer's designee. If an apprentice jockey is unable to ride for a period of fourteen consecutive days or more after the date of his/her fifth winning mount because of service in national armed forces, enrollment in an institution of secondary or higher education, or because of physical disablement, the Commission may extend the time during which such apprentice weight allowance may be claimed for a period not to exceed the period such apprentice jockey was unable to ride (see APPRENTICE JOCKEY EXTENSION FORM). The Commission will take jurisdiction only on certificates which it has issued. All other requests for extensions shall be directed to the Commission that approved the apprentice certificate.
- (6) The conditions set forth in Section A or this rule shall also apply.

APPRENTICE JOCKEY EXTENSION FORM

Date:
To:
(Name of Racing Commission)
Gentlemen and/or Ladies:
I hereby request an extension of my apprentice allowance for the following reason(s): physical disability or illness.
physical distability of filliess millitary service.
Attendance in an institution of secondary or higher learning.
other reasons which would be acceptable to the Commission. (explain
below)
My apprentice allowance expires on
(date)
My apprentice contract expires on
(date)
Incident occurred at on
(Track & location) (date)
Name(s) of steward(s) at track:
I was examined at the time by on (Name of physician) (date)
(ivame of physician) (date)
(Address of examining physician)
I was examined and declared fit to ride byon
(Name of physician) (date)
(Address of examining physician)
(Attached is a letter from my physician verifying above facts.)
Total number of calendar days I was unable to ride requested as an extension in this application
Name of contract holder at time of incident
Present contract holder, if other than above
Signed:
(apprentice signature)

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Note to applicant: No request will be considered by the Commission without a confirming report from your doctor. Be sure to secure letters from attending physicians stating nature & extent of injury, date, etc. and names of stewards presiding at meeting where you were injured.

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C. Foreign Jockeys

Upon making an application for a license in this jurisdiction, a jockey from a foreign country shall declare that he/she is a holder of a valid license in his/her country and currently not under suspension. To facilitate this process, the jockey shall present a declaration sheet in a language recognized in this jurisdiction to the Commission.

D. Jockey Responsibility

- (1) A jockey shall give a best effort during a race, and each horse shall be ridden to win. A jockey shall not ease up on or coast to the finish, without reasonable cause, even if the horse has no apparent chance to win prize money.
- (2) A jockey shall not have a valet attendant except one provided and compensated by the association.
- (3) No person other than the licensed contract employer or a licensed jockey agent, may make riding engagements for a rider, except that a jockey not represented by a jockey agent may make his own riding engagements.
- (4) A jockey shall have no more than one jockey agent.
- (5) No revocation of a jockey agent's authority is effective until the jockey notifies the stewards in writing of the revocation of the jockey agent's authority.

E. Jockey Betting

A jockey shall only be allowed to wager on a race in which he/she is riding. A jockey shall only be allowed to wager if:

- (1) the owner or trainer of the horse which the jockey is riding makes the wager for the jockey;
- (2) the jockey only wagers on his/her own mount to win or finish first in combination with other horses in multiple type wagers; and
- (3) records of such wagers are kept and available for presentation upon request by the stewards.

F. Jockey's Spouse

A jockey shall not compete in any race against a horse which is trained by the jockey's spouse.

G. Jockey Mount Fees

The organizations representing the majority of horse owners and jockeys should reach and present an agreement to the commission 30 days prior to the start of a race meet. In the absence of a contract or special agreement, and taking into consideration local conditions and total purses paid at their racing facility, the commission shall use the following as a guideline for establishing jockey mount fees.

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PURSE	WINNING	SECOND	THIRD	FOURTH	OTHER
	MOUNT	MOUNT	MOUNT	MOUNT	MOUNTS
0 to \$2,499	10% of Win	\$55	\$50	\$45	\$40
	Purse				
\$2,500 to	10% of Win	\$60 - \$75	\$55 - \$70	\$50 - \$65	\$45 - \$60
\$4,999	Purse				
\$5,000 to	10% of Win	\$65 - \$85	\$60 - \$80	\$55 - \$75	\$50 - \$65
\$9,999	Purse				
\$10,000 to	10% of Win	5% of Place	\$90 - \$100	\$70 - \$90	\$65 - \$80
\$24,999	Purse	Purse			
\$25,000 to	10% of Win	5% of Place	5% of Show	\$80 -\$100	\$75 - \$95
\$49,999	Purse	Purse	Purse		
\$50,000 to	10% of Win	5% of Place	5% of Show	5% of Fourth	\$80 - \$100
\$99,999	Purse	Purse	Purse	Place Purse	
\$100,000	10% of Win	5% of Place	5% of Show	5% of Fourth	\$105 -\$115
and up	Purse	Purse	Purse	Place Purse	

Using a base year of 2010 commissions should adjust this table based on an average of the following indexes: US Social Security Administration's Cost of Living Adjustment (COLA), US Department of Labor's CPA inflation calculator (http://BLS.GOV), and Consumer Price Index (CPI), local percent change in pari-mutuel handle. As a guideline, taking into account local circumstances, tracks paying purses in excess of \$250,000 per day should move to the higher range in each category and tracks paying below \$125,000 per day in purses should be considered at the lower of the range.

H. Jockey Fee Earned

A jockey's fee shall be considered earned when the jockey is weighed out by the clerk of scales. In the event an owner or trainer elects to remove a jockey from his or her mount after naming a rider at the time of the draw, the stewards may require a double jockey fee to be paid. The fee to be paid is equal to that earned by the jockey who rode the horse. The fee shall not be considered earned when a jockey(s), of their own free will, take themselves off their mounts, where injury to the horse or rider is not involved. Any conditions or considerations not covered by the above rule shall be at the discretion of the stewards. All jockey protests must be filed prior to the race.

- Jockey Suspensions and Designated Races
- (1) For the purposes of this subchapter, "designated race" shall mean any stakes race or associated trial in any state as designated by the stewards.

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- (2) A jockey suspended for ten (10) days or less for a riding violation unless otherwise specified in the ruling may continue to exercise horses during training hours and may fulfill riding engagements in designated races, as designated by the Stewards at the beginning of the race meeting.
- (3) Prior to the commencement of a meeting, a listing of designated races by the Stewards shall be submitted to the Commission. A copy of such races shall be posted in the Jockeys' Room, and any other such place deemed appropriate by the Stewards. The stewards may elevate a race to designated race status after the commencement of the meet and shall submit it to the Commission and update the listing as above.
- (4) The official rulings where designated races are permitted shall be stated in the initial ruling.
- (5) A jockey who is serving a suspension of ten (10) race days or less may ride in designated races during the suspension under the following conditions:
 - a. the race has been specified as a designated race by the Stewards officiating at the meeting; and
 - b. the jockey is named no later than the time set for the close of entries for the designated race.
- (6) When a jockey rides in a designated race(s) the board of stewards, which originally imposed the suspension will designate the day to serve the additional suspension.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

Version 4.3 to 4.4 ARCI Board 12/10/08: Amended jockey eligibility language

Version 4.7 to 4.8 ARCI Board 10/22/10 Added H. Jockey Fee Earned language

Version 5.1 to 5.2 ARCI Board 7/25/12 Made apprentice allowance permissive rather than mandatory

<u>Version 6.1 to 6.2 ARCI Meeting of the Members 3/24/2016 Amended ARCI-004 Added "Jockey Suspensions and Designated Races" subchapter</u>