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# TOPICS TO BE COVERED

- LAW SCHOOL TYPE OVERVIEW
  
- SOME TOOLS WE CAN USE AND THOUGHTS FROM A FORMER REGULATOR



# FORCEFUL CONTROL OF HORSE RACING VESTED IN THE COMMISSION

- free of any corrupt, incompetent, dishonest, or unprincipled horse racing practices,
- to dissipate any cloud of association with the undesirable and maintain the appearance as well as the fact of complete honesty and integrity of horse racing in the Commonwealth.

# PROTECT THE INTEGRITY OF RACING

- power to eject or exclude from association grounds or any part thereof any person, licensed or unlicensed, whose conduct or reputation is such that his presence on association grounds may, in the opinion of the racing commission, reflect on the honesty and integrity of horse racing or interfere with the orderly conduct of horse racing.



**“I UNDERSTAND THAT PARTICIPATION  
IN RACING IN KENTUCKY IS A  
PRIVILEGE AND NOT A RIGHT.”**

# TOOLS TO ENFORCE VIOLATIONS OF RACING REGULATIONS

- STATE LAWS

- MEDICATION RULES AND PENALTY GUIDELINES

- OTHER RULES GIVING GUIDANCE ON CONDUCT

# DUE PROCESS

- NOTICE
- OPPORTUNITY TO BE HEARD
- RIGHT OF APPEAL



# GENERAL PENALTY GUIDELINES

DISQUALIFY A HORSE OR  
LICENSEE IN A RACE

FINE NOT TO EXCEED \$50,000

SUSPEND OR REVOKE A  
LICENSE FOR UP TO 5 YEARS  
IN KEEPING WITH THE  
SERIOUSNESS OF THE  
VIOLATION

EJECT OR EXCLUDE

# CRIMINAL PENALTIES

- CLASS C FELONY-TAMPER WITH OR INTERFERE WITH A HORSE RACE WITH THE INTENT TO INFLUENCE THE OUTCOME IF A PERSON USES ANY DEVICE, MATERIAL OR SUBSTANCE NOT APPROVED BY THE KHRC –
  - KRS 532.060 (2)- 5-10 YEARS



# REASONS TO PUNISH OFFENDERS

- PREVENT COMMISSION OF ADDITIONAL OFFENCES
- PROTECT OTHERS

# ADDITIONAL REASONS

- DETER OTHERS- PROVIDE AN EXAMPLE- GIVE FAIR WARNING OF WHAT CONDUCT IS UNACCEPTABLE



- REFORM
- RETRIBUTION

# WHO IS HURT?

- OTHERS IN THE RACE
- BETTORS
- THE INDUSTRY



# TOOLS AND ADDITIONAL THOUGHTS

- AFTER A POSITIVE AND BEFORE TRAINER IS INFORMED
  - BARN SEARCH
  - VET TRUCK SEARCH
  - WAGERING ANALYSIS
- 
- PROTOCOLS FOR OAKS, DERBY AND BREEDERS' CUP

# TOOLS AND ADDITIONAL THOUGHTS

HELP THE REGULATOR



# TOOLS AND ADDITIONAL THOUGHTS

- TRANSPARENCY VS PROMOTING THE INDUSTRY
- NATIONAL LICENSE



# QUESTIONS?



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