

## CLAIMING RACING - CHAPTER 9

### ***ARCI-009-005 Purpose***

To describe the procedures and requirements for the claiming of horses and the conduct of claiming races.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

### ***ARCI-009-010 General Provisions***

- (1) A person entering a horse in a claiming race warrants that the title to said horse is free and clear of any existing claim or lien, either as security interest mortgage, bill of sale, or lien of any kind; unless before entering such horse, the written consent of the holder of the claim or lien has been filed with the stewards and the racing secretary and its entry approved by the stewards. A transfer of ownership arising from a recognized claiming race will terminate any existing prior lease for that horse.
- (2) Title to a claimed horse shall be vested in the successful claimant at the time the horse leaves the paddock. The successful claimant shall then become the owner of the horse whether it be alive or dead, sound or unsound or injured at any time after leaving the paddock, during the race or after. However, the successful claimant may request on the claim blank at the time he/she makes the claim that the horse be tested for the presence of equine infectious anemia via a Coggins test., or other test as approved by the official veterinarian. Should this test prove positive, it shall be cause for voiding the claim. The expense of the test and the maintenance of the horse during the period requested for the test, shall be the responsibility of the successful claimant, unless the test proves positive, wherein the owner(s) of the horse at the time of entry shall be responsible.
- (3) An in-foal filly or mare shall be eligible to be entered into a claiming race only if the following conditions are fulfilled:
  - (a) Full disclosure of such fact is on file with the racing secretary and such information is posted in his/her office;
  - (b) The stallion service certificate has been deposited with the racing secretary's office;
  - (c) All payments due for the service in question and for any live progeny resulting from that service are paid in full; and
  - (d) The release of the stallion service certificate to the successful claimant at the time of claim is guaranteed.
- (4) The stewards may set aside and order rescission of a claim for any horse from a claiming race run in this jurisdiction upon a showing that any party to the claim committed a prohibited action, as specified in ARCI-009-025 of this chapter, or that the owner of the horse at the time of entry in the claiming race failed to comply with any requirement of these rules. Should the stewards order a rescission of a claim, they may make a further order for the costs of maintenance and care of the horse as they may deem appropriate.
- (5) A claim shall be voided if a horse is a starter as determined by the regulatory authority, and the horse:

- (a) Dies on the racetrack; or
- (b) Suffers an injury which requires the euthanasia of the horse as determined by the Official Veterinarian while the horse is on the racetrack.
- (6) A claim is voidable at the discretion of the new owner for a period of one hour after the race is made official, for any horse:
  - (a) That is vanned off the track at the direction of the Official Veterinarian; or
  - (b) That is observed by the Official Veterinarian to be lame or unsound while on the racetrack for that race.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

Version 8.1 to 8.2, ARCI Board of Directors, 12/8/2017, adopted ARCI-009-010 (5) and (6)

### ***ARCI-009-015 Claiming Of Horses***

- (1) Any horse starting in a claiming race is subject to be claimed for its entered price by any:
  - (a) licensed owner;
  - (b) holder of a valid claim certificate; or
  - (c) licensed authorized agent acting on behalf of an eligible claimant.
- (2) Every horse claimed shall race for the account of the original owner, but title to the horse shall be transferred to the claimant at the time the horse leaves the paddock. The successful claimant shall become the owner of the horse, regardless of whether it is alive or dead, sound or unsound, or injured prior to, during or after the race.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

### ***ARCI-009-020 Claim Certificate***

- (1) An applicant for a claim certificate shall submit to the Commission:
  - (a) an application for an owner's license and the required fee; and
  - (b) the name of a licensed trainer, or person eligible to be a licensed trainer, who will assume the care and responsibility for any horse claimed;
- (2) The stewards shall issue a claim certificate upon satisfactory evidence that the applicant is eligible for an owner's license.
- (3) The claim certificate shall expire 30 days after the date of issuance, or upon the claim of a horse, or upon issuance or denial of an owner's license, whichever comes first.
- (4) A claim certificate may be renewed by the stewards during the same year.

Adopted in Version 1.4 ARCI 8/27/02 NAPRA 10/2/02

### ***ARCI-009-025 Prohibitions***

- (1) A person shall not claim a horse in which the person has a financial or beneficial interest as an owner or trainer.
- (2) A person shall not cause another person to claim a horse for the purpose of obtaining or retaining an undisclosed financial or beneficial interest in the horse.
- (3) A person shall not enter into an agreement for the purpose of preventing another person from obtaining a horse in a claiming race.